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## BIB DATA SHEET

CONFIRMATION NO. 1103

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.	
09/603,390	06/23/2000	705	3695	E3331.0432	
<b>RULE</b>					
<b>APPLICANTS</b> August Hochenberger, East Islip, NY; Edward R. Howorka, Morris Plains, NJ; Neena Jain, South Plainfield, NJ; John C. Gaudio, Middletown, NJ; John R. Capuano, Chatham, NJ; Gregory D. Mills, Flanders, NJ; Srivathsan Krishnasami, New York, NY;					
<b>** CONTINUING DATA *****</b> <b>** FOREIGN APPLICATIONS *****</b> <b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b> 08/15/2000					
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and Acknowledged <u>/THU-THAO HAVAN/</u> Examiner's Signature	<input type="checkbox"/> Met after Allowance Initials	<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWINGS</b> 8	<b>TOTAL CLAIMS</b> 15	<b>INDEPENDENT CLAIMS</b> 2
<b>ADDRESS</b> DICKSTEIN SHAPIRO LLP 1633 Broadway NEW YORK, NY 10019 UNITED STATES					
<b>TITLE</b> Conversational dealing in an anonymous trading system					
<b>FILING FEE RECEIVED</b> 2590	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	